

Applicant or Patentee: Javier Ruiz

Serial No.:

Filed:

Patent No.:

Issued:

For: Self-Calibrating Spectrometers and Auto-Calibration Methods

Attorney's Docket No. : Y02-007

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) AND 1.27(b)) - INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled Self-Calibrating Spectrometers and Auto-Calibration Methods

described in

- ( ☒ ) the specification filed herewith  
( ) application Serial No. , filed  
( ) patent no. , issued

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ( ) no such person, concern, or organization  
( ☒ ) persons, concerns, or organizations listed below\*

\*NOTE: Separate verified statements are required from each named person, concern, or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME SCI Instruments Inc.

ADDRESS 6355 Corte del Abeto, Suite C105, Carlsbad, CA 92009

( ) INDIVIDUAL ( ☒ ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

FULL NAME

ADDRESS

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

FULL NAME

ADDRESS

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent to which this verified statement is directed.

Javier Ruiz

NAME OF INVENTOR

NAME OF INVENTOR

NAME OF INVENTOR

Signature of Inventor

Signature of Inventor

Signature of Inventor

Date

Date

Date

Serial No: Filed:

Patent No: Issued:

For: Self-Calibrating Spectrometers and Auto-Calibration Methods

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) AND 1.27(b)) - SMALL BUSINESS CONCERN**

I hereby declare that I am

- ( ) the owner of the small business concern identified below  
( x ) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: SCI Instruments Inc.

ADDRESS OF CONCERN: 6355 Corte del Abeto, Suite C105, Carlsbad, CA 92009

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled Self-Calibrating Spectrometers and Auto-Calibration Methods

by inventor(s) Javier Ruiz, described in

- ( x ) the specification filed herewith  
( ) application serial no. , filed  
( ) patent no. , issued .

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME

ADDRESS

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

FULL NAME

ADDRESS

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

FULL NAME

ADDRESS

( ) INDIVIDUAL ( ) SMALL BUSINESS CONCERN ( ) NONPROFIT ORGANIZATION

acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Emad Zawaideh

TITLE OF PERSON OTHER THAN OWNER President

ADDRESS OF PERSON SIGNING 6355 Corte del Abeto, Suite C105, Carlsbad, CA 92009

SIGNATURE



DATE

3-6-02

COMBINED DECLARATION FOR PATENT APPLICATION  
AND POWER OF ATTORNEY

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PD- Y02-007

- ☒ Original  
☐ Continuation  
☐ Division  
☐ Continuation-in-part  
☐ Supplemental  
☐ PCT  
☐ Design

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Self-Calibrating Spectrometers and Auto-Calibration Methods

the specification of which

(check one) ☒ is attached hereto  
☐ was filed on \_\_\_\_\_ as  
Application Serial No. \_\_\_\_\_ and (a) [other than supplemental] was amended  
on or (b) [supplemental] with amendments through \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of the application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Priority Claimed

☐ Yes ☐ No

Number

Country

Day/Month/Year filed

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.

Filing Date

Status  
(patented, pending, abandoned)

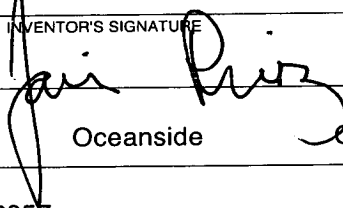
I hereby appoint the following attorneys, or agent and attorneys, to prosecute the application and to transact all business in the Patent and Trademark Office in connected therewith:

Kenneth W. Float, Registration No. 29,233

Address all telephone calls to Kenneth W. Float at (949) 459-5519.

Address all correspondence to the Law Offices of K. W. Float, Box 80790, Rancho Santa Margarita, CA 92688-0790.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST JOINT INVENTOR Javier Ruiz	INVENTOR'S SIGNATURE 	DATE 3-6-2002
RESIDENCE 1601 Wagon Wheel Drive	Oceanside CA 92057	CITIZENSHIP U.S.A.
POST OFFICE ADDRESS 1601 Wagon Wheel Drive, Oceanside, CA 92057		
FULL NAME OF JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP
POST OFFICE ADDRESS		
FULL NAME OF JOINT INVENTOR	INVENTOR'S SIGNATURE	DATE
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POST OFFICE ADDRESS		